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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Denisa D. Wagner and Robert C. Johnson
Serial No: 09/436,076
Filed: November 8, 1999
For: METHODS FOR TREATING AND PREVENTING ATHEROSCLEROSIS

Examiner: Fonda, K.
Art Unit: 1632

BOX IDS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



Sir:

Transmitted herewith is/are the following document(s):

- Supplemental Information Disclosure Statement
- Form PTO-1449 and References
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No filing fee is included. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

William G. Gosz

William G. Gosz, Reg. No. 27,787
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Docket No. C0279/7027

November 13, 2000

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ATTORNEY'S DOCKET NO: C027944 **RECEIVED**

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P.2

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 18th day of November, 2000.

Anne Barnes
Anne Barnes

BOX IDS

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311.

(check 1 or 2 below)

1. The fee of \$240 as set forth in 37 C.F.R. §1.17(p) is enclosed.
2. The Applicant hereby states, as specified in 37 C.F.R. §1.97(e), that

(check a or b below)

- a. each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application not more than three months prior to the filing of this Statement. No fee is required.
- b. no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement. No fee is required.

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application:

European Search Report dated 18 October 2000, issued in counter-part European patent application number 95 921 563.3.

An early and favorable action is hereby requested.

Respectfully submitted,

By: William G. Gosz
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Boston, MA 02210
Telephone (617) 720-3500

Docket No. C0279/7027
Dated: November 13, 2000